

- (14) This compound was previously postulated as an intermediate but found too reactive for isolation: K. Schenker and V. Prelog, *Helv. Chim. Acta*, **36**, 896 (1953).
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- (16) **Note Added in Proof.** The preparation of both *cis*-2,5-dibromocyclopentanone and *cis*-2,7-dibromocycloheptanone has recently been implied, although no experimental details have been given; see R. H. Prager and J. M. Tippet, *Tetrahedron Lett.*, 5199 (1973). We believe that the assignment of at least the seven-membered derivative must be reversed.

Synthesis of Phthalimidines from Aromatic Dicarboxyl Compounds

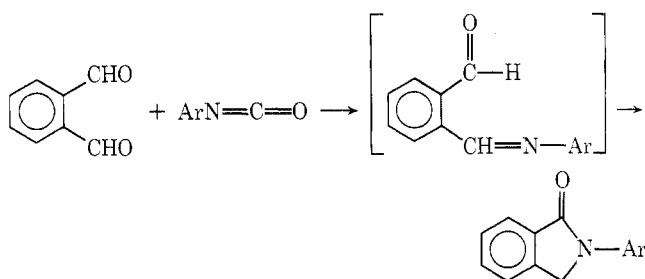
Iwao Yamamoto,* Shoichi Yanagi, Akio Mamba, and Haruo Gotoh

Faculty of Textile Science and Technology, Shinshu University, Ueda, Nagano, 386 Japan

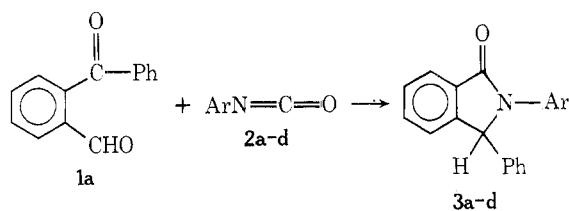
Received July 11, 1974

The reaction between *o*-benzoylbenzaldehyde (1a) and aromatic isocyanates (2a-d) afforded 2,3-disubstituted phthalimidines 3a-d in good yield, which would be formed *via* *o*-benzoylbenzylideneaniline intermediate followed by migration of phenyl group. The same product 3a was obtained by the reaction using 1a and aniline. On the other hand, no reaction was observed between *o*-carboxybenzaldehyde (23) and 2a, but the reaction of 23 with aniline gave *o*-carboxybenzylideneaniline (24) and 3-anilino-2-phenylphthalimidine (21a) in 83 and 7% yield, respectively.

Previously we reported a synthetic method for *N*-arylphthalimidines by the reaction of an aromatic isocyanate and phthalaldehyde.¹ In the present paper, we report the reactions of isocyanates with aromatic dicarbonyl compounds and a new synthetic method for 2,3-disubstituted phthalimidines.

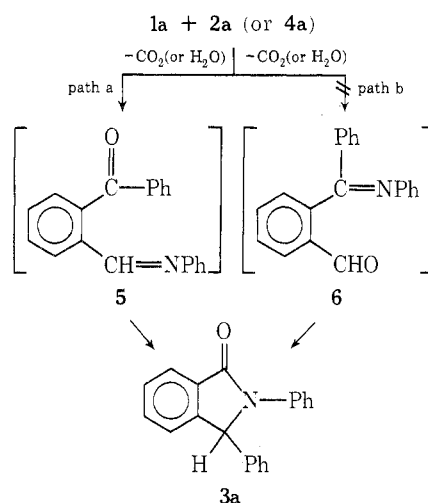


***o*-Benzoylbenzaldehyde.** Treatment of *o*-benzoylbenzaldehyde (1a) with an equimolar amount of phenylisocyanate



- 2a and 3a, Ar = C₆H₅
 2b and 3b, Ar = *m*-CH₃C₆H₄
 2c and 3c, Ar = α -naphthyl
 2d and 3d, Ar = β -naphthyl

Chart I



anate (2a) at 200° for 15 hr afforded 2,3-diphenylphthalimidine (3a) in 67% yield. The reaction of 1a with other isocyanates gave phthalimidines 3b-d. Both the ir (C=O at 1680 cm⁻¹) and nmr (singlet for CH at δ 6.05) spectra of 3a are fully consistent with the structure; 3b-d also showed nmr singlets at δ 5.98-6.02. The mass spectrum of 3a exhibited a molecular ion peak at *m/e* 285, in accordance with a general formula C₂₀H₁₅ON, and the fragmentation pattern was in agreement with phthalimidine structure.

As expected, treatment of 1a with aniline (4a) gave 3a in 65% yield. These observations suggest that the reaction be-

Table I
The Reaction of Aromatic Isocyanate with *o*-Benzoylbenzaldehyde^a

Products	Reaction time, hr ^b	Yield, % ^c	Mp, °C	Ir(C=O), ^d cm ⁻¹	λ_{max} , nm	Nmr, δ		
						CH	CH ₃	Aromatic
3a	15	67	192-194	1680	275	6.05		7.0-8.1
3b	16	54	175-176	1680	275	6.02	2.25	6.7-8.0
3c	19	84	190-191	1705	275, 283, 293	5.98		6.8-8.2
3d	19	81	200-201	1680	260, 268, 283, 300	5.99		6.7-8.2
3e	9	52	190-190.5	1680		6.03	2.24	7.0-8.1

^a Satisfactory analytical data ($\pm 0.3\%$ for C, H, N) were reported for all compounds. ^b The reaction was monitored by ir.
^c Based on isocyanate. ^d Nujol mull.

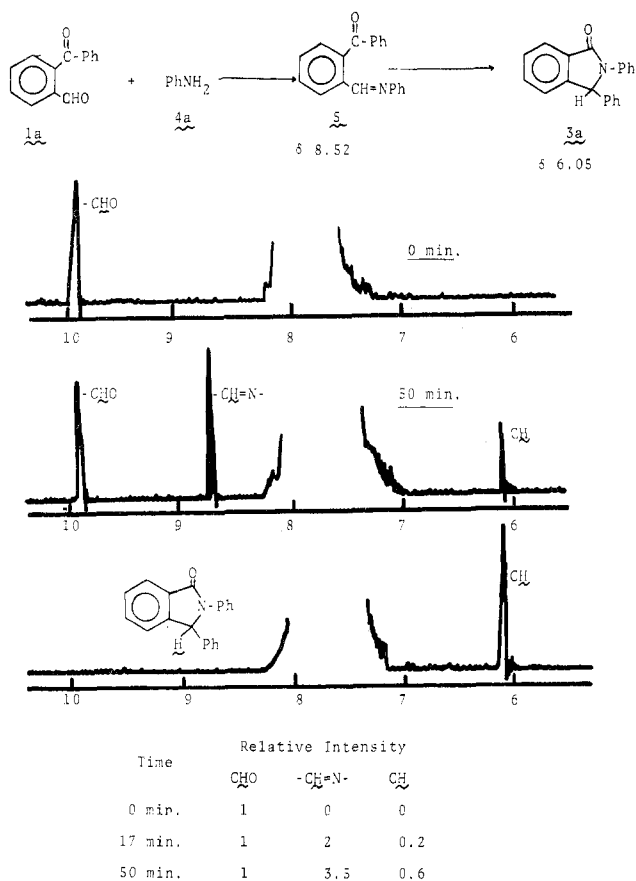


Figure 1. Nmr spectra of the reaction of 1a with aniline at room temperature in CDCl_3 .

tween 1a and 4a proceeds *via* a similar intermediate as that of isocyanates, as shown in Chart I.

The formally different pathways, A and B, can be envisaged for the reaction of isocyanate (or aniline) with *o*-benzoylbenzaldehyde (1a) studied in this work: *i.e.*, the reaction of isocyanate (or aniline) with (A) aldehyde function of 1a, followed by the cyclization accompanied with migration of phenyl group, (B) ketone function of 1a, followed by the cyclization accompanied with migration of hydrogen. Since ketones are generally much less reactive than aldehydes in the formation of imines,² path A should be followed. To verify that the reactions of 1a with isocyanate (and/or aniline) go through path A, the reactions were monitored by nmr. The nmr spectra showed the proton resonances at δ 8.47 (reaction with isocyanate) and δ 8.52 (reaction with aniline), both being assigned to the $\text{CH}=\text{N}$ proton (Figures 1 and 2), but did not show any aldehyde proton signal for the intermediate 6. Based on these observations, the formation of phthalimidines 3a-d from aldehyde 1a and isocyanates 2a-d (and/or aniline) may be accounted for by a pathway in which the initial loss of $\text{CO}_2(\text{H}_2\text{O})$, resulting in the formation of *o*-benzoylbenzylidene aniline (5) (not *o*-formylbenzophenone anil (6)), is followed by the cyclization with concerted migration of phenyl group (path A, not path B).

To clarify the migration mechanism of phenyl group, we studied the reaction between *o*-(*p*-toluoyl)benzaldehyde (1b) and 2a. When a mixture of 1b and 2a was heated at 200° for 9 hr, 2-phenyl-3-(*p*-tolyl)phthalimidine (3e) was obtained in 52% yield (neither *m*-tolyl-3f nor *o*-tolyl-phthalimidine 3g were obtained). The structure of 3e was confirmed by ir, nmr, and carbon-13 FT nmr (Table II³ and Figure 3) spectra. The carbon-13 nmr spectrum of 3e showed two singlets at δ 126.802 and 128.804, which were

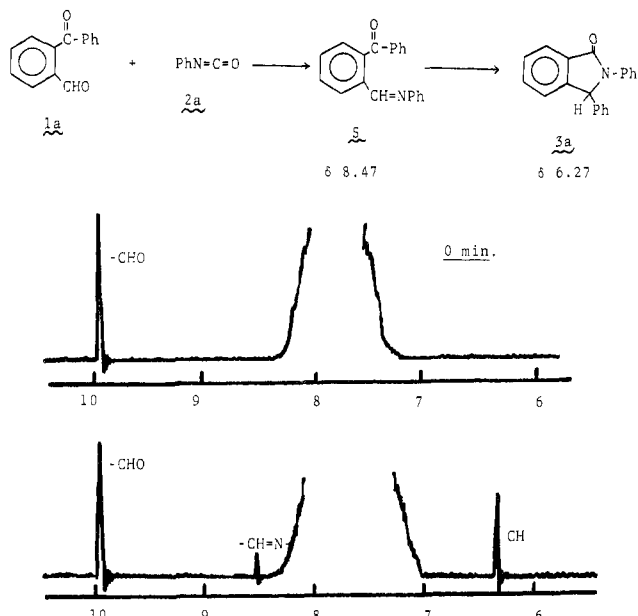
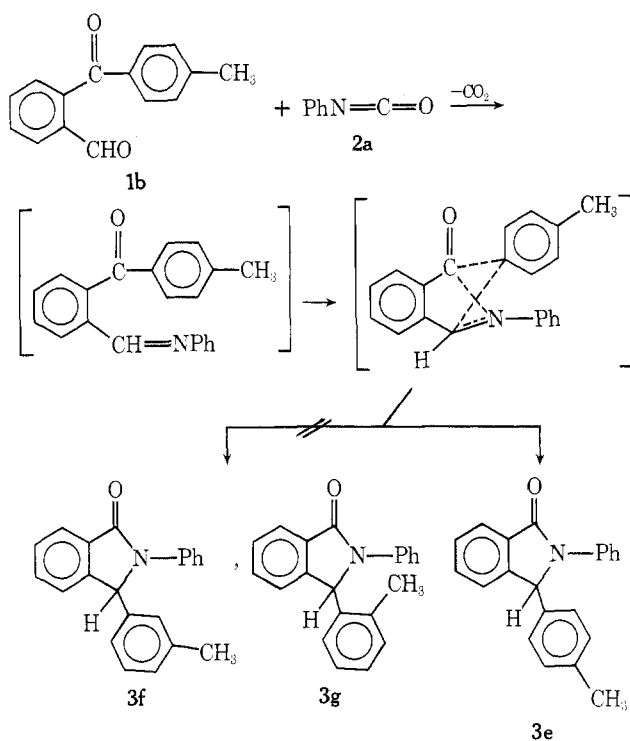


Figure 2. Nmr spectra of the reaction of 1a with 2a at 160° in $\text{PhN}=\text{C}=\text{O}$ without solvent.

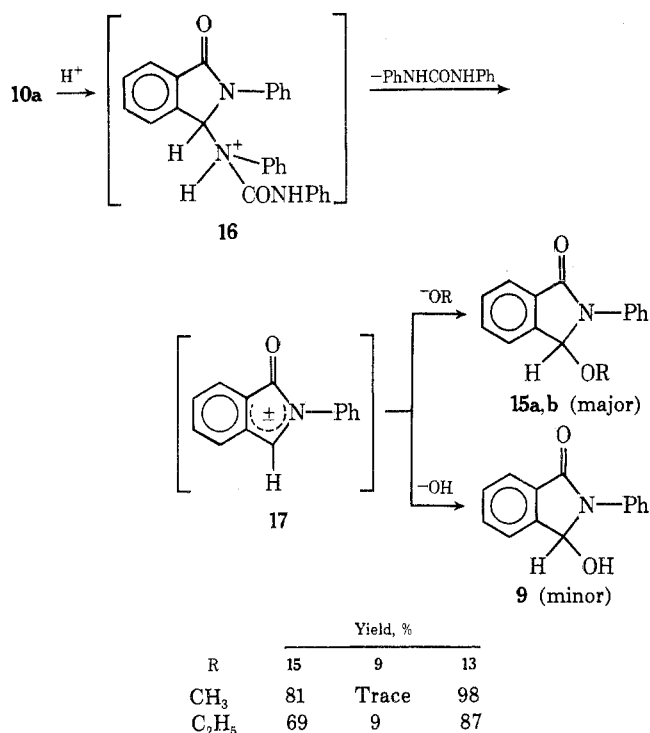
Chart II



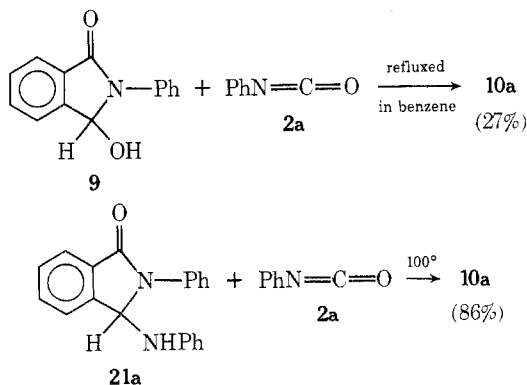
assigned to C-16,20 and C-17,19 by comparison with the carbon-13 nmr spectra of 2-phenylphthalimidine and 2,3-diphenylphthalimidine, as shown in Figure 3. These observations suggest each pair of carbons, C-16 and -20, C-17 and -19, being equivalent, respectively. Therefore the site of the methyl group was determined as being in the para position. Based on this result, we supposed that the reaction may occur *via* bridged intermediate or a concerted process as shown in Chart II, but no other evidence of concerted mechanism has been obtained.

Phthalaldihydric Acid. The reaction of phthalaldehydic acid (8) with 2a afforded 3-hydroxy-2-phenylphthalimidine (9, 30%), 3-*N,N'*-(diphenylureido)-2-phenylphthalimidine (10a, 12%), phthalic anhydride (11, trace), *N*-phenylphthalimide (12, 15%), *N,N'*-diphenylurea (13,

Chart IV



benzene gave 10a in 27% yield. Furthermore, when 2a was heated with 3-anilino-2-phenylphthalimidine (21) prepared independently,⁶ at 100° for 3 hr, 10a was isolated in 86% yield.



Ethylphthalaldehyde. Phenylisocyanate was found not to react with ethylphthalaldehyde (23). No change in ir spectra was observed, even when the two were mixed and allowed to stand at 250° for 45 hr.

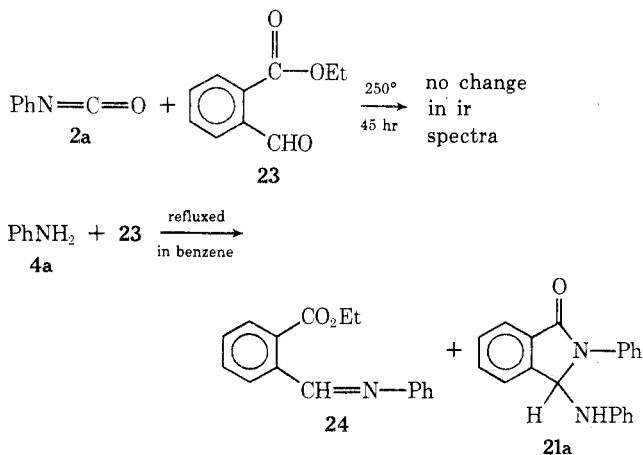
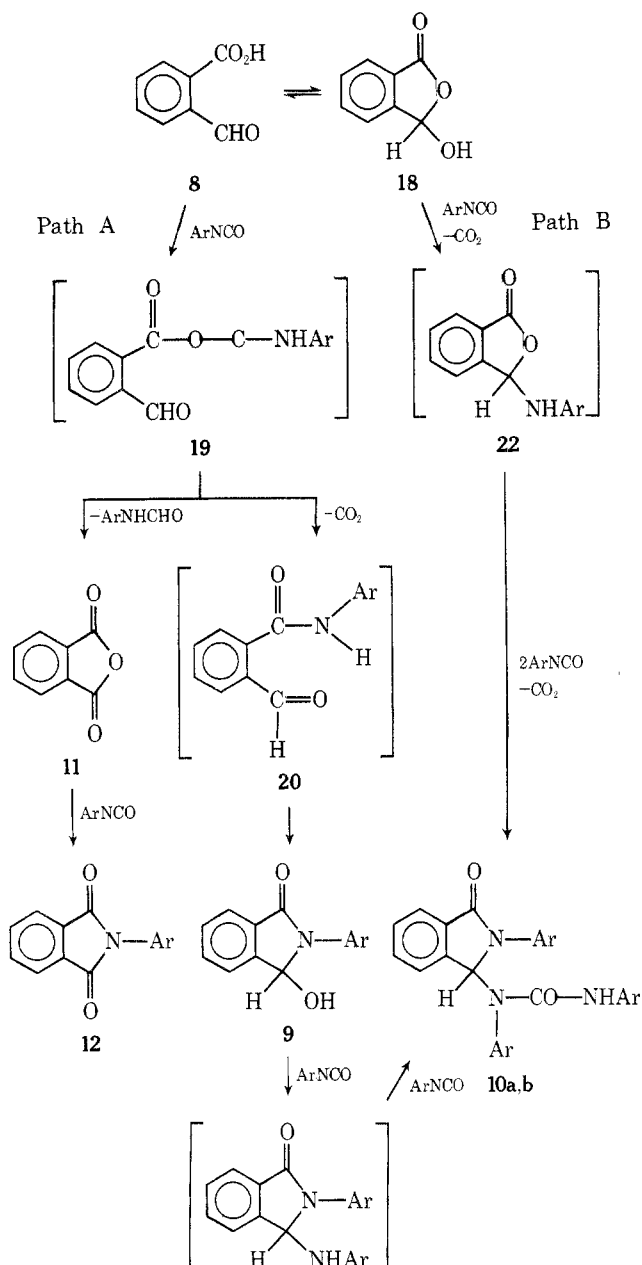


Chart V



Although phenylisocyanate did not react with 23, a fast reaction was observed with equimolar amounts of aniline (4a) and 23, isolating the imine 24 and phthalimidine 21a in 83 and 7% yields, respectively.

Pojer and his coworkers⁶ obtained 21a in their reaction of "excess" aniline with 23 but did not isolate 24. They gave no discussion regarding the formation mechanism of 21a.

On the other hand, Henderson and Dahlgren reported that aniline was not reactive toward 23 in dioxane at 21°. Therefore we studied the reaction between 4a and 23 in more detail. When the imine 24 was heated with aniline at 100° for 10 hr, 21a was obtained in 64% yield. From this fact we could conclude that the formation of 21a in the reaction between 23 and 4a would involve the initial formation of 24, followed by the nucleophilic attack of 4a toward the CH=N bond.

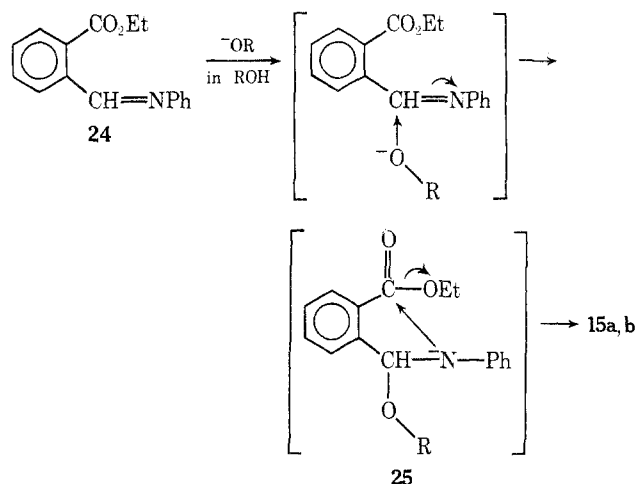
A cyclization analogous to that involved in the formation of 21a from 4a and 24 was also observed when the imine 24 was heated with methanol or ethanol in the presence of sodium alkoxide to form 15a,b. The reaction between 1a and

Table III
Cyclization of the Compounds of the Type

Reactant	Product	δ_c
		190.7 ^a
		194.8 ^b
		164.9 ^c

^{a-c} The chemical shifts of carbonyl groups were approximated by those of (a) PhC^*HO , (b) $\text{Ph}_2\text{C}^*\text{=O}$, (c) $\text{PhC}^*\text{O}_2\text{Et}$.

2a would imply the analogous isolation of 15b from the thermolysis of 24. Our attempt was, however, unsuccessful.



In summary, the cyclization of *o*-carbonylbenzylideneaniline seems to be initiated by the nucleophilic attack of nitrogen to carbonyl carbon; the electrophilicity of carbonyl carbon would make an important contribution. The electron density of carbonyl carbon affected by its environment is correlated with the chemical shift of C-13 nmr. As shown in Table III, the chemical shift of carbethoxy carbon is displayed at δ 164.9 ppm,^{8a} but both aldehyde^{8b} and ketone carbon^{8c} are at lower fields than δ 190 ppm. These facts should support the above discussion. When the imine 24 was heated with the alkoxide ion, the nucleophilicity of imino nitrogen would increase by formation of intermediate 25, resulting in a cyclization product.

Experimental Section⁹

Reaction of *o*-Benzoylbenzaldehyde (1a) with Aromatic Isocyanates 2a-d. General Procedure. A mixture of 1a (4.0 g,

0.019 mol) and phenylisocyanate (2a) (2.5 g, 0.019 mol) was heated at 200° for 15 hr. The resulting dark brown cake was dissolved in benzene (10 ml), and chromatographed on neutral alumina (benzene was used as eluent) to afford 2,3-diphenylphthalimidine (3a) in 67% (3.6 g) yield, mp 192–194°; mass spectrum (70 eV) m/e 285 (M^+), 208 ($M^+ - \text{Ph}$), 180 (208 – CO). The spectral and analytical data are summarized in Table I.

Reaction of *o*-(*p*-Toluoxy)benzaldehyde (1b) with 2a. A mixture of 1b (2.2 g, 0.01 mol) and 2a (1.2 g, 0.01 mol) was treated in a similar manner as the above. After similar work-up, the yield of 3e was 1.5 g (52%), mp 190–190.5°.

Reaction of 1a with Aniline (4a). To a solution of 1a (4.2 g, 0.01 mol) in benzene was added 4a (1.9 g, 0.02 mol), and the resulting mixture was refluxed for 5 hr using a Dean-Stark trap. The solvent was evaporated *in vacuo* and the residue was chromatographed on alumina to give 3.7 g (65%) of 3a.

Reaction of Phthalaldehydic Acid (8) with 2a. A mixture of 8 (4.5 g, 0.03 mol) and 2a (3.07 g, 0.03 mol) in benzene (20 ml) was refluxed for 8 hr. The solvent was removed *in vacuo* and the resulting brown cake was chromatographed on neutral alumina using benzene, benzene-ethanol (99:1), and ethanol as eluents. The first fraction was concentrated and the residue was recrystallized from benzene-hexane to give a trace amount (0.01 g) of phthalic anhydride, mp 129–130 (lit.¹⁰ 131.2°). Similar treatment of the second fraction afforded 1.0 g (15%) of *N*-phenylphthalimide (12), mp 208° (lit.¹¹ 208°). Similar treatment of the third fraction afforded 2.0 g (30%) of 3-hydroxy-2-phenylphthalimidine (9), mp 171.5–172.5° (lit.¹² 171–172°). The fourth fraction gave a trace amount of 3,3'-oxidipthalide (14), mp 233–235° (lit.¹³ 234–236°). The fifth fraction afforded 1.55 g (12%) of 3-(*N,N'*-diphenylureido)-2-phenylphthalimidine (10a), mp 203–203.5°: ir (Nujol) 3300 (NH), 1700 (C=O), 1670 (C=O) cm^{-1} ; nmr (acetone- d_6) δ 6.37 (s, 1, CH), 6.48–7.8 (m, 9, aromatic protons), 8.8 (s, 1, NH); mass spectrum (70 eV) m/e 419 (M^+), 300, 299, 208.

Anal. Calcd for $\text{C}_{27}\text{H}_{21}\text{O}_2\text{N}_3$: C, 77.31; H, 5.05; N, 10.02. Found: C, 77.33; H, 5.08; N, 9.77.

The sixth fraction gave a trace amount (0.008 g) of *N,N'*-diphenylurea (13), mp 234–235° (lit.¹⁴ 235°).

Reaction of 8 with *m*-Tolylisocyanate (2b). The reaction was carried out at the boiling temperature of benzene for 8 hr as described above using 8 (5.6 g, 0.037 mol) and 2b (2.5 g, 0.042 mol). After similar work-up, the residue obtained was chromatographed on alumina using benzene-ethanol (98:2) to give 3-(*N,N'*-di-*m*-tolylureido)-2-*m*-tolylphthalimidine (10b) (4.5 g, 23%), which was the only product isolated, mp 166–168°: ir (Nujol) 3320 (NH), 1740 (C=O), 1640 (C=O) cm^{-1} ; nmr (acetone- d_6) δ 2.1 (s, 3, CH_3), 6.0 (s, 1, CH), 6.2–7.9 (m, 16, aromatic protons), 8.05 (s, 1, NH).

Anal. Calcd for $\text{C}_{30}\text{H}_{27}\text{O}_2\text{N}_3$: C, 78.06; H, 5.83; N, 9.47. Found: C, 77.87; H, 5.90; N, 9.11.

Acid-Catalyzed Hydrolysis of 10a. A solution of 10a (1.0 g, 0.0024 mol) in acetone (50 ml) was refluxed with concentrated hydrochloric acid (1.5 ml) for 3 hr. After removal of solvent, the residue was extracted with chloroform, washed with water, and dried over sodium sulfate. The chloroform layer was chromatographed on alumina to afford 0.25 g (47%) of 9, 0.2 g (38%) of 13, and 0.5 g (50% recovered) of 10a.

Acid-Catalyzed Methanolysis of 10a. A solution of 1.0 g (0.0024 mol) of 10a in 30 ml of aqueous methanol was refluxed with concentrated hydrochloric acid (1.0 ml) for 3 hr. The solvent was removed *in vacuo* and the residue was chromatographed on alumina to afford a trace of 9, 0.5 g (87%) of 3-methoxy-2-phenylphthalimidine (15a), and 0.5 g (96%) of 13.

15a had mp 79–80°: ir (Nujol) 1710 (C=O) cm^{-1} ; nmr (CCl_4) δ 2.77 (s, 3, CH_3), 6.26 (s, 1, CH), 6.95–7.90 (m, 9, aromatic protons).

Anal. Calcd for $\text{C}_{15}\text{H}_{13}\text{O}_2\text{N}$: C, 75.30; H, 5.48; N, 5.85. Found: C, 75.25; H, 5.30; N, 6.05.

Acid-Catalyzed Ethanolysis of 10a. A solution of 10a (2.0 g, 0.0048 mol) in 50 ml of 99% ethanol containing concentrated hydrochloric acid (1.0 ml) was refluxed for 3 hr. After similar work-up, the yield of 9 was 0.1 g (9%), that of 13 was 0.9 g (87%), and that of 3-ethoxy-2-phenylphthalimidine (15b) was 0.8 g (69%).

15b had mp 76–77°: ir (Nujol) 1710 cm^{-1} ; nmr (CCl_4) δ 0.93 (t, 3, CH_3), 2.93 (m, 2, CH_2), 6.22 (s, 1, CH), 6.75–7.93 (m, 9, aromatic protons); mass spectrum (70 eV) m/e 253 (M^+), 224, 208, 180.

Anal. Calcd for $\text{C}_{16}\text{H}_{15}\text{O}_2\text{N}$: C, 75.87; H, 5.97; N, 5.53. Found: C, 75.78; H, 5.82; N, 5.66.

Reaction of 9 with 2a. A mixture of 9 (1.0 g, 0.0045 mol) and 2a (1.1 g, 0.0093 mol) in benzene (20 ml) was refluxed for 6 hr. After removal of solvent, the residue was chromatographed on alumina to afford 0.5 g (27%) of 10a.

Reaction of 3-Anilino-2-phenylphthalimidine (21a) with 2a. A mixture of 21a (0.5 g, 0.0017 mol) and 2a (0.5 g, 0.004 mol) was heated at 100° for 3 hr. Then the resulting mixture was chilled by ether, and filtration gave 0.6 g (86%) of 10a.

Reaction of Ethylphthalaldehyde (23) with 4a. A mixture of 23 (5.34 g, 0.03 mol) and 4a (2.8 g, 0.03 mol) in benzene (50 ml) was refluxed for 6 hr using a Dean-Stark trap. After removal of solvent, the residue was distilled under reduced pressure to afford 6.30 g (83%) of *o*-carboxybenzylideneaniline (24), bp 159° (2 mm); ir (Neat) 1720 (C=O), 1620 (C=N), 1260 (—CO₂—) cm⁻¹; nmr (CCl₄) δ 1.38 (t, 3, CH₃), 4.33 (q, 2, CH₂), 7.05–8.5 (m, 9, aromatic protons), 9.25 (s, 1, CH=N); mass spectrum (70 eV) *m/e* 253 (M⁺), 224, 208, 280.

Anal. Calcd for C₁₆H₁₅O₂N: C, 75.87; H, 5.97; N, 5.53. Found: C, 75.94; H, 5.90; N, 5.59.

The residue after distillation was chromatographed on alumina to afford 0.63 g (7%) of 21a, mp 160–161° (lit.⁶ 162°).

Reaction of 24 with 4a. A mixture of 24 (3.0 g, 0.012 mol) and 4a (1.12 g, 0.012 mol) was heated at 100° for 10 hr. Then the resulting mixture was chromatographed on alumina to afford 2.3 g (64%) of 21a.

Reaction of 24 with *o*-Toluidine (4b). The reaction between 24 (3.0 g, 0.012 mol) and 4b (1.29, 0.012 mol) was carried out in a similar manner as described for the reaction of 24 with 4a. After similar work-up, the yield of 2-phenyl-3-(*o*-toluidino)phthalimidine (21b) was 3.75 g (ca. 100%), mp 167–168°; ir (Nujol) 3390 (NH), 1700 (C=O) cm⁻¹; nmr (CDCl₃) δ 1.90 (s, 3, CH₃), 5.90–7.95 (m, 15).

Anal. Calcd for C₂₁H₁₈ON₂: C, 80.23; H, 5.77; N, 8.91. Found: C, 80.14; H, 5.62; N, 8.91.

Base-Catalyzed Methanolysis of 24. A solution of 24 (3.00 g, 0.0012 mol) in absolute methanol was refluxed for 6 hr in the presence of sodium methoxide (0.3 g). After removal of solvent, the residue was extracted with ethylacetate, washed with water, and dried over sodium sulfate. The ethylacetate layer gave 2.35 g (82%) of 15a.

Base-Catalyzed Ethanolysis of 24. A solution of 24 (3.0 g, 0.0012 mol) in ethanol was treated in the presence of sodium ethoxide (0.3 g) in a similar manner as the above. After similar work-up, the yield of 15b was 1.70 g (56%).

Acknowledgment. We wish to thank Dr. K. Fujita and Dr. T. Hirose, JEOL Co, for C-13 nmr spectrum analysis.

Registry No.—1a, 16780-82-8; 1b, 52920-19-1; 2a, 103-71-9; 2b, 621-29-4; 2c, 86-84-0; 2d, 2243-54-1; 3a, 36149-34-5; 3b, 53778-18-0; 3c, 53779-19-1; 3d, 53778-20-4; 3e, 52920-23-7; 4a, 62-53-3; 4b, 621-29-4; 8, 119-67-5; 9a, 18167-15-2; 10a, 52920-24-8; 10b, 52920-27-1; 15a, 52920-25-9; 15b, 25770-48-3; 21a, 19339-69-6; 21b, 52920-26-0; 23, 34046-43-0; 24, 52920-28-2; 2-phenylphthalimidine, 5388-42-1.

Supplementary Material Available. Full carbon-13 nmr data for compounds 2-phenylphthalimidine, 2,3-diphenylphthalimidine, and 2-phenyl-2-(*p*-tolyl)phthalimidine will appear following these pages in the microfilm edition of this volume of the journal. Photocopies of the supplementary material from this paper only or microfiche (105 × 148 mm, 24X reduction, negatives) containing all of the supplementary material for the papers in this issue may be obtained from the Journals Department, American Chemical Society, 1155 16th St., N.W., Washington, D. C. 20036. Remit check or money order for \$3.00 for photocopy or \$2.00 for microfiche, referring to code number JOC-74-3924.

References and Notes

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Acylation of Amino Acid Schiff Bases

Leon J. Heuser,* Carl F. Anderson, Harold E. Applegate, Ekkehard H. Böhme, Joseph E. Dolfini, and Mohindar S. Puar

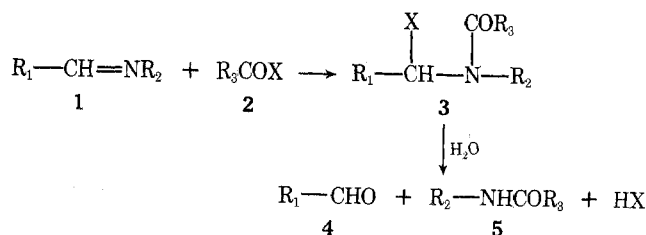
The Squibb Institute for Medical Research, New Brunswick, New Jersey 08903

Received June 19, 1974

Acyating agents react with amino acid Schiff bases to form intermediates that can be hydrolyzed to acylated amino acids or dipeptides. This procedure offers a new method for preparing semisynthetic penicillins.

Previously, we had found it advantageous to isolate and purify 6-aminopenicillanic acid (6-APA), the basic intermediate for the production of semisynthetic penicillins, as its Schiff base.¹ We now wish to report that it is possible to acylate the Schiff base directly to form the desired penicillin derivative without the necessity of generating the free amino acid for use as the starting material.

It has long been known that the Schiff bases of amines (1) could readily be acylated with acid halides (2) or anhydrides.² The reaction involves an addition across the —CH=N bond to form a stable compound (3). Subsequent hydrolysis of the acid halide adduct yields the simple acylation product (5) of the original amine.



We have directed our studies toward the acylation of the Schiff bases of 6-APA salts and esters. The syntheses of penicillin V (7a) and its methyl ester (7b) were investi-